

# PRIME CONTRACT MODIFICATION INDEX

Fiscal Year 2009

Modifications 001 - 106

| Modification Reference | Type                  | Description of Modification<br>Prime Contract No. <b>DE-AC36-08GO28308</b>   | Date Signed by Contracting Officer |
|------------------------|-----------------------|--|------------------------------------|
| A001                   | <b>Funding</b>        | Funding  | 08/22/08                           |
| M002                   | <b>Administrative</b> | <p><b>Revised:</b></p> <ul style="list-style-type: none"> <li>• B.3 Transition Cost, Estimated Costs and Maximum Available Fee to change the beginning and ending dates of the Transition Period, the start date of M&amp;O activities, and the Total Available Fee for the contract period beginning October 1, 2008 and ending September 30, 2009</li> <li>• H.3 Employee Compensation: Pay and Benefits to reflect the change in the beginning and end dates of the Transition Period</li> <li>• I.140 970.5232-4 Obligation of Funds to correct the amount presently obligated by the Government to the Contract to be \$1,405,242.00</li> </ul> <p><b>Added:</b></p> <ul style="list-style-type: none"> <li>• Section J, Attachment F Operating and Administrative Requirements                             <ul style="list-style-type: none"> <li>- DOE N 206.5 CRD Response and Notification Procedures for Data Breaches Involving Personally Identifiable Information</li> <li>- DOE O 210.2 CRD DOE Corporate Operating Experience Program</li> <li>- DOE O 221.1A CRD Reporting Fraud, Waste, and Abuse to the Office of Inspector General</li> <li>- DOE O 226.1A CRD Implementation of Department of Energy Oversight Policy</li> <li>- DOE M 231.1-1A CRD Change 2 Environment, Safety and Health Reporting Manual</li> </ul> </li> </ul> <p><b>Deleted:</b></p> <ul style="list-style-type: none"> <li>• Section J, Attachment F Operating and Administrative Requirements                             <ul style="list-style-type: none"> <li>- DOE O 221.1 CRD Reporting Fraud, Waste, and Abuse to the Office of Inspector General</li> <li>- DOE O 226.1 CRD Implementation of Department of Energy Oversight Policy</li> <li>- DOE M 231.1-1A CRD Change 1 Environment, Safety and Health Reporting Manual</li> <li>- DOE O 443.1 CRD Protection of Human Subjects</li> </ul> </li> </ul> | 09/12/08                           |

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|                        |                       | <p><b>Added:</b></p> <ul style="list-style-type: none"> <li>• H.30 Incurrence of Relocation Costs Prior to the Initiation of M&amp;O Activities</li> <li>• H.31 Exemption of Management and Operation Contractual Obligations for Real Property Encumbered by Government’s Easement Outgrant.</li> </ul> <p><b>Reserved:</b></p> <ul style="list-style-type: none"> <li>• Section J, Attachment N REQUIREMENTS TO LAWS, REGULATIONS, and DOE DIRECTIVES SUPPLEMENTAL is marked as Reserved.</li> </ul>  |                                    |
| A003                   | <b>Funding</b>        | <ul style="list-style-type: none"> <li>• I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,500,044.00.</li> </ul>  | 09/12/08                           |
| M004                   | <b>Administrative</b> | <p><b>Section H, Special Contract Requirements Revised:</b></p> <ul style="list-style-type: none"> <li>• H.3 Employee Compensation: Pay and Benefits</li> <li>• H.4 Post Contract Responsibilities for Pension and Other Benefit Programs</li> </ul> <p><b>Added:</b></p> <ul style="list-style-type: none"> <li>• H.32 Privately Funded Technology Transfer Program</li> <li>• H.33 Provisional Payment of Fee</li> <li>• H.34 Temporary Laboratory Closures at NREL</li> </ul> <p><b>Section J, List of Documents, Exhibits, and Other Attachments Incorporated by reference:</b></p> <ul style="list-style-type: none"> <li>• Attachment D Special Financial Institution Account Agreement for Use with The Payments Cleared Financing Arrangement dated August 27, 2008 between the Department of Energy (DOE), the Alliance for Sustainable Energy LLC (Alliance) and U.S. Bank</li> <li>• Attachment K Diversity Plan (as submitted by the Alliance on September 15, 2008)</li> </ul> | 10/01/08                           |

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|                        |                       | <ul style="list-style-type: none"> <li>Attachment M Equal Employment Opportunity Program (as submitted by the Alliance on September 15, 2008)</li> </ul>  |                                    |
| A005                   | <b>Funding</b>        | <ul style="list-style-type: none"> <li>I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$55,999,544.00.</li> </ul>   | 10/01/08                           |
| A006                   | <b>Funding</b>        | <ul style="list-style-type: none"> <li>I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$346,804,034.71.</li> </ul>  | 10/10/08                           |
| A007                   | <b>Funding</b>        | <ul style="list-style-type: none"> <li>I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$407,719,233.92.</li> </ul>  | 10/16/08                           |
| A008                   | <b>Funding</b>        | <ul style="list-style-type: none"> <li>I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$347,074,486.42.</li> </ul>  | 10/15/08                           |
| M009                   | <b>Administrative</b> | <p><b>Revised:</b></p> <ul style="list-style-type: none"> <li>B.3 Transition Cost, Estimated Costs and Maximum Available Fee - to include fee from October 1, 2008</li> <li>H.25 Special Financial Institution Account Agreement - to incorporate new Financial Institution Account Agreement</li> <li>Section I, Table of Contents – Clause I.30 52.219-26 Small Disadvantaged Business Participation Program-Disadvantaged Status and Reporting (Oct 1999) is deleted and replaced with I.30 52.219-25 Small Disadvantaged Business Participation Program-Disadvantaged Status and Reporting (Oct 1999)</li> <li>I.48 Section (a) – 52.223-7 Notice of Radioactive Materials (Jan 1997)</li> <li>I.57 Section (b)(2) – 52.225-9 Buy American Act – Construction Materials (Jan 2005)</li> <li>I.76 Section (d) – 52.244-2 Subcontracts (June 2007)</li> </ul> | 12/12/08                           |

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|                        |      | <ul style="list-style-type: none"> <li>• I.82 Section (c) – 52.247-67 Submission of Transportation Documents for Audit (Feb 2006)</li> <li>• I.111 Paragraph (b) – 970.5204-3 Access To and Ownership of Records (Jul 2005)</li> <li>• I.125 Section (d)(2), and Section (e)(3)(v) – 970.5227-2 Rights in Data-Technology Transfer (Dec 2000) (Deviation-Jul 2006)</li> <li>• I.136 – 970.5231-4 Pre-Existing Conditions (Dec 2000) Alternate I and Alternate II (Dec 2000)</li> <li>• I.140 – Paragraph (c) 970.5232-4 Obligation of Funds (Dec 2000)</li> <li>• Section J, Table of Contents – revised to add Attachments S and T</li> <li>• Section J, Attachment F Operating and Administrative Requirements (deletions)               <ul style="list-style-type: none"> <li>- DOE N 205.2 CRD - Foreign National Access to DOE Cyber Systems</li> <li>- DOE N 205.3 CRD - Password Generation, Protection, and Use</li> <li>- DOE N 205.8 CRD - Cyber Security Requirements for Wireless Devices and Information Systems</li> <li>- DOE N 205.9 CRD - Certification and Accreditation Process for Information Systems Including National Security Systems</li> <li>- DOE N 205.10 CRD - Cyber Security Requirements for Risk Management</li> <li>- DOE N 205.11 CRD - Security Requirements for Remote Access to DOE and Applicable Contractor Information Technology System</li> </ul> </li> </ul> <p><b>Incorporated by Reference:</b></p> <ul style="list-style-type: none"> <li>• Section J, Attachment O Commercialization Plan (as submitted by the Alliance on September 19, 2008)</li> <li>• Section J, Attachment Q Organizational Conflict of Interest Management Plan (as submitted by the Alliance on October 31, 2008)</li> </ul> |                                    |

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|                        |                | <ul style="list-style-type: none"> <li>• Section J, Attachment R Organizational Conflict of Interest Implementation Plan (as submitted by the Alliance on October 31, 2008)</li> <li>• Section J, Attachment S Human Resources Compensation Plan (as submitted by the Alliance on September 22, 2008)</li> <li>• Section J, Attachment T Human Resources Workforce Plan (As submitted by the Alliance on September 22, 2008)</li> </ul> |                                    |
| A010                   | <b>Funding</b> | <ul style="list-style-type: none"> <li>• I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$466,376,678.21.</li> </ul>  | 10/24/08                           |
| A011                   | <b>Funding</b> | <ul style="list-style-type: none"> <li>• I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$466,754,992.24.</li> </ul>  | 10/24/08                           |
| A012                   | <b>Funding</b> | <ul style="list-style-type: none"> <li>• I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$466,948,506.78.</li> </ul>  | 10/30/08                           |
| A013                   | <b>Funding</b> | <ul style="list-style-type: none"> <li>• I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$467,232,546.59.</li> </ul>  | 11/13/08                           |
| A014                   | <b>Funding</b> | <ul style="list-style-type: none"> <li>• I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$487,121,705.59.</li> </ul>  | 11/19/08                           |
| A015                   | <b>Funding</b> | <ul style="list-style-type: none"> <li>• I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$487,061,119.54.</li> </ul>  | 12/12/08                           |
| A016                   | <b>Funding</b> | <ul style="list-style-type: none"> <li>• I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$494,129,248.99.</li> </ul>  | 12/23/08                           |
| A017                   | <b>Funding</b> | <ul style="list-style-type: none"> <li>• I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$494,205,483.97.</li> </ul>  | 01/13/09                           |

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| A018                   | <b>Funding</b>        | <ul style="list-style-type: none"> <li>I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$495,632,049.00.</li> </ul>   | 01/23/09                           |
| A019                   | <b>Funding</b>        | <ul style="list-style-type: none"> <li>I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$500,516,199.18.</li> </ul>   | 02/12/09                           |
| A020                   | <b>Funding</b>        | <ul style="list-style-type: none"> <li>I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$500,516,199.18.</li> </ul> <p style="text-align: center;"><b>Corrects Mod A006</b></p> | 02/20/09                           |
| A021                   | <b>Funding</b>        | <ul style="list-style-type: none"> <li>I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$500,516,199.18.</li> </ul> <p style="text-align: center;"><b>Corrects Mod A015</b></p> | 02/20/09                           |
| A022                   | <b>Funding</b>        | <ul style="list-style-type: none"> <li>I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$500,516,199.18.</li> </ul> <p style="text-align: center;"><b>Corrects Mod A017</b></p> | 02/20/09                           |
| A023                   | <b>Funding</b>        | <ul style="list-style-type: none"> <li>I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$500,516,199.18.</li> </ul> <p style="text-align: center;"><b>Corrects Mod A018</b></p> | 02/20/09                           |
| A024                   | <b>Funding</b>        | <ul style="list-style-type: none"> <li>I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$502,877,424.72.</li> </ul>   | 02/20/09                           |
| A025                   | <b>Funding</b>        | <ul style="list-style-type: none"> <li>I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$502,855,299.45.</li> </ul>   | 03/13/09                           |
| M026                   | <b>Administrative</b> | <p><b>Revised:</b><br/>Section J, Attachment F Operating and Administrative Requirements</p> <p><b>Added:</b></p>  | 03/17/09                           |

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|                        |                | <ul style="list-style-type: none"> <li>• DOE O 200.1A CRD Information Management Program</li> <li>• DOE M 205.1-6 CRD Media Sanitization Manual</li> <li>• H.35 Special Provisions Relating to Work Funded Under American Recovery and Reinvestment Act of 2009 (Feb 2009)</li> </ul> <p><b>Deleted:</b></p> <ul style="list-style-type: none"> <li>• DOE O 200.1 CRD Information Technology Management</li> </ul> |                                    |
| A027                   | <b>Funding</b> | <ul style="list-style-type: none"> <li>• I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$502,930,830.16.</li> </ul>   | 03/18/09                           |
| A028                   | <b>Funding</b> | <ul style="list-style-type: none"> <li>• I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$503,011,412.68.</li> </ul>   | 04/16/09                           |
| A029                   | <b>Funding</b> | <ul style="list-style-type: none"> <li>• I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$503,053,038.90.</li> </ul>   | 04/22/09                           |
| A030                   | <b>Funding</b> | <ul style="list-style-type: none"> <li>• I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$602,981,473.93.</li> </ul>   | 04/24/09                           |
| A031                   | <b>Funding</b> | <ul style="list-style-type: none"> <li>• I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$610,953,523.92.</li> </ul>   | 04/29/09                           |
| A032                   | <b>Funding</b> | <ul style="list-style-type: none"> <li>• I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$610,945,826.60.</li> </ul>   | 05/08/09                           |
| A033                   | <b>Funding</b> | <ul style="list-style-type: none"> <li>• I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$625,713,595.10.</li> </ul>   | 5/13/09                            |
| A034                   | <b>Funding</b> | <ul style="list-style-type: none"> <li>• I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$626,027,104.44.</li> </ul>   | 5/14/09                            |

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| A035                   | <b>Funding</b> | <ul style="list-style-type: none"> <li>I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$640,828,496.21.</li> </ul> | 05/22/09                           |
| A036                   | <b>Funding</b> | <ul style="list-style-type: none"> <li>I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$641,445,306.89.</li> </ul> | 06/10/09                           |
| A037                   | <b>Funding</b> | <ul style="list-style-type: none"> <li>I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$643,976,306.89.</li> </ul> | 06/17/09<br>ARRA<br>\$2,531,000    |
| A038                   | <b>Funding</b> | <ul style="list-style-type: none"> <li>Accounting code changes only. No changes in funding</li> </ul>  | 06/17/09                           |
| A039                   | <b>Funding</b> | <ul style="list-style-type: none"> <li>I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$643,796,306.89.</li> </ul> | 06/18/09                           |
| A040                   | <b>Funding</b> | <ul style="list-style-type: none"> <li>I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$644,286,213.50.</li> </ul> | 06/18/09                           |
| A041                   | <b>Funding</b> | <ul style="list-style-type: none"> <li>I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$644,426,796.02.</li> </ul> | 06/19/09                           |
| A042                   | <b>Funding</b> | <ul style="list-style-type: none"> <li>Accounting code changes only. No changes in funding.</li> </ul>   | 06/26/09                           |
| A043                   | <b>Funding</b> | <ul style="list-style-type: none"> <li>I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$644,686,796.02.</li> </ul> | 06/23/09<br>ARRA<br>\$260,000      |
| A044                   | <b>Funding</b> | <ul style="list-style-type: none"> <li>I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$644,980,407.67.</li> </ul> | 07/06/09                           |
| A045                   | <b>Funding</b> | <ul style="list-style-type: none"> <li>I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$645,128,434.67.</li> </ul> | 07/07/09                           |
| A046                   | <b>Funding</b> | <ul style="list-style-type: none"> <li>I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the</li> </ul>   | 07/08/09<br>ARRA<br>\$115,000      |



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|                        |                | Government with respect to this contract as being \$645,243,434.67.  |                                    |
| A047                   | <b>Funding</b> | <ul style="list-style-type: none"> <li>I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$645,291,978.36.</li> </ul> | 07/09/09                           |
| A048                   | <b>Funding</b> | <ul style="list-style-type: none"> <li>I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$653,325,930.36.</li> </ul> | 07/10/09                           |
| A049                   | <b>Funding</b> | <ul style="list-style-type: none"> <li>I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$653,425,930.36.</li> </ul> | 07/17/09                           |
| A050                   | <b>Funding</b> | <ul style="list-style-type: none"> <li>I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$718,625,930.36.</li> </ul> | 07/17/09<br>ARRA<br>\$65,200,000   |
| A051                   | <b>Funding</b> | <ul style="list-style-type: none"> <li>I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$724,422,161.44.</li> </ul> | 07/21/09                           |
| A052                   | <b>Funding</b> | <ul style="list-style-type: none"> <li>I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$724,682,161.44.</li> </ul> | 07/21/09<br>ARRA<br>\$260,000      |
| A053                   | <b>Funding</b> | <ul style="list-style-type: none"> <li>I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$735,672,368.76.</li> </ul> | 07/23/09                           |
| A054                   | <b>Funding</b> | <ul style="list-style-type: none"> <li>I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$738,937,868.76.</li> </ul> | 07/24/09<br>ARRA<br>\$3,265,500    |
| A055                   | <b>Funding</b> | <ul style="list-style-type: none"> <li>I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$759,937,868.76.</li> </ul> | 08/07/09<br>ARRA<br>\$21,000,000   |
| A056                   | <b>Funding</b> | <ul style="list-style-type: none"> <li>I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$773,234,368.76.</li> </ul> | 08/13/09<br>ARRA<br>\$13,296,500   |

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| A057                   | <b>Funding</b> | <ul style="list-style-type: none"> <li>I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$773,267,368.76.</li> </ul> | 08/14/09<br>ARRA<br>\$33,000       |
| A058                   | <b>Funding</b> | <ul style="list-style-type: none"> <li>I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$773,576,996.92.</li> </ul> | 08/14/09                           |
| A059                   | <b>Funding</b> | <ul style="list-style-type: none"> <li>I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$784,387,739.09.</li> </ul> | 08/17/09                           |
| A060                   | <b>Funding</b> | <ul style="list-style-type: none"> <li>I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$789,504,539.09.</li> </ul> | 08/18/09<br>ARRA<br>\$5,116,800    |
| A061                   | <b>Funding</b> | <ul style="list-style-type: none"> <li>I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$790,014,539.09.</li> </ul> | 08/18/09<br>ARRA<br>\$510,000      |
| A062                   | <b>Funding</b> | <ul style="list-style-type: none"> <li>I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$790,764,539.09.</li> </ul> | 08/19/09                           |
| A063                   | <b>Funding</b> | <ul style="list-style-type: none"> <li>I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$790,945,409.96.</li> </ul> | 08/20/09                           |
| A064                   | <b>Funding</b> | <ul style="list-style-type: none"> <li>I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$791,034,874.04.</li> </ul> | 08/20/09                           |
| A065                   | <b>Funding</b> | <ul style="list-style-type: none"> <li>I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$793,734,874.04.</li> </ul> | 08/20/09<br>ARRA<br>\$2,700,000    |
| A066                   | <b>Funding</b> | <ul style="list-style-type: none"> <li>I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$793,802,835.21.</li> </ul> | 08/20/09                           |
| A067                   | <b>Funding</b> | <ul style="list-style-type: none"> <li>I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$808,625,450.92.</li> </ul> | 08/25/09                           |

# PRIME CONTRACT MODIFICATION INDEX

Fiscal Year 2009

Modifications 001 - 106

| Modification Reference | Type           | Description of Modification<br>Prime Contract No. <b>DE-AC36-08GO28308</b>   | Date Signed by Contracting Officer  |
|------------------------|----------------|--|---|
| A068                   | <b>Funding</b> | <ul style="list-style-type: none"> <li>I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$808,673,994.61.</li> </ul> | 08/25/09  |
| A069                   | <b>Funding</b> | <ul style="list-style-type: none"> <li>I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$808,732,247.04.</li> </ul> | 08/25/09  |
| A070                   | <b>Funding</b> | <ul style="list-style-type: none"> <li>Account code change only – zero dollar modification \$795,435,747.04.</li> </ul>  | 08/27/09<br>ARRA<br>-\$13,296,500   |
| A071                   | <b>Funding</b> | <ul style="list-style-type: none"> <li>Accounting code change only – zero dollar modification \$808,732,247.04.</li> </ul>   | 08/27/09<br>ARRA<br>\$13,296,500  |
| A072                   | <b>Funding</b> | <ul style="list-style-type: none"> <li>I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$809,105,795.58.</li> </ul> | 09/08/09  |
| A073                   | <b>Funding</b> | <ul style="list-style-type: none"> <li>I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$819,055,795.58.</li> </ul> | 09/08/09<br>ARRA<br>\$9,950,000   |
| A074                   | <b>Funding</b> | <ul style="list-style-type: none"> <li>I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$819,175,795.58.</li> </ul> | 09/09/09<br>ARRA<br>\$120,000   |
| A075                   | <b>Funding</b> | <ul style="list-style-type: none"> <li>I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$819,490,582.58.</li> </ul> | 09/09/09  |
| A076                   | <b>Funding</b> | <ul style="list-style-type: none"> <li>De-obligation of Funding due to code changes which will be replaced in Modification A077.</li> </ul>  | 09/09/09<br>ARRA<br>deobligated<br>-\$2,000,000                             |
| A077                   | <b>Funding</b> | <ul style="list-style-type: none"> <li>Code Changes replacing funds de-obligated under Modification A076.</li> </ul>   | 09/10/09<br>ARRA<br>\$2,000,000   |
| A078                   | <b>Funding</b> | <ul style="list-style-type: none"> <li>I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$809,540,582.58.</li> </ul> | 09/11/09<br>Deobligation modification<br>ARRA<br>deobligated<br>\$9,950,000 |

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Fiscal Year 2009

Modifications 001 - 106

| Modification Reference | Type                  | Description of Modification<br>Prime Contract No. <b>DE-AC36-08GO28308</b>  | Date Signed by Contracting Officer          |
|------------------------|-----------------------|---|---|
| A079                   | <b>Funding</b>        | <ul style="list-style-type: none"> <li>• I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$810,068,050.58.</li> </ul>  | 09/11/09<br><b>ARRA</b><br><b>\$527,468</b> |
| M080                   | <b>Administrative</b> | <p><b>Revised:</b></p> <ul style="list-style-type: none"> <li>• Section H, Special Contract Requirements                             <ul style="list-style-type: none"> <li>– H.35 Special Provisions Relating to Work Funded Under the American Recovery and Reinvestment Act of 2009 (April 2009)</li> </ul> </li> <li>• Section I, Contract Clauses                             <ul style="list-style-type: none"> <li>– 970.5232-3 Accounts, Records, and Inspection (Jun 2007) (Alternate 1) (Dec 2000) (Deviation)</li> </ul> </li> <li>• Section J, List of Documents, Exhibits, and Other Attachments                             <ul style="list-style-type: none"> <li>– Attachment F, Operating and Administrative Requirements</li> </ul> </li> </ul> <p><b>Added:</b></p> <ul style="list-style-type: none"> <li>• DOE O 450.1A CRD, Environmental Protection Program</li> <li>• DOE N 456.1 CRD, Safe Handling of Unbound Engineered Nanoparticles</li> </ul> <p><b>Deleted:</b></p> <ul style="list-style-type: none"> <li>• DOE O 450.1 CRD (Change 3) Administrative Change 1, Environmental Protection Program                             <ul style="list-style-type: none"> <li>– Attachment I, Subcontracts, Purchase Orders and Other Actions Requiring DOE Review and Approval and Other Agreements Between the Parties (paragraph 4, subparagraphs (a), (b), and (c))</li> <li>– Attachment J, FY2009 Performance Evaluation Management Plan, dated August 13, 2009</li> </ul> </li> </ul> <p><b>Added:</b></p> <ul style="list-style-type: none"> <li>• Section E, Inspection and Acceptance                             <ul style="list-style-type: none"> <li>– E.3 Certification (applicable only to Recovery Act work)</li> </ul> </li> <li>• Section G, Contract Administration Data                             <ul style="list-style-type: none"> <li>– G.4 Monthly Cost Reports</li> <li>– G.5 Indirect Charges</li> </ul> </li> </ul> | 09/17/09                                    |

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Modifications 001 - 106

| Modification Reference | Type           | Description of Modification<br>Prime Contract No. <b>DE-AC36-08GO28308</b>   | Date Signed by Contracting Officer |
|------------------------|----------------|--|------------------------------------|
|                        |                | <ul style="list-style-type: none"> <li>• Section I, Contract Clauses                             <ul style="list-style-type: none"> <li>– 52.203-15 Whistleblower Protections Under the American Recovery and Reinvestment Act of 2009 (Mar 2009)</li> <li>– 52.204-11 American Recovery and Reinvestment Act – Reporting Requirements (Mar 2009)</li> <li>– 52.225-21 Required Use of American Iron, Steel, and Other Manufactured Goods – Buy American Act – Construction Materials (Mar 2009)</li> <li>– 52.225-22 Notice of Required Use of American Iron, Steel, and Other Manufactured Goods – Buy American Act – Construction Materials (Mar 2009)(Alternate I) (Mar 2009)</li> <li>– 52.225-23 Required Use of American Iron, Steel, and Other Manufactured Goods – Buy American Act – Construction Materials Under Trade Agreements (Mar 2009)(Alternate I) (Mar 2009)</li> <li>– 52.225-24 Notice of Required Use of American Iron, Steel, and Other Manufactured Goods – Buy American Act – Construction Materials Under Trade Agreements (Mar 2009)(Alternate I, Alternate II) (Mar 2009)</li> </ul> </li> </ul> |                                    |
| A081                   | <b>Funding</b> | <ul style="list-style-type: none"> <li>• I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$812,495,447.67.</li> </ul>   | 09/15/09                           |
| A082                   | <b>Funding</b> | <ul style="list-style-type: none"> <li>• I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$812,650,522.67.</li> </ul>   | 09/15/09                           |
| A083                   | <b>Funding</b> | <ul style="list-style-type: none"> <li>• I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$812,747,609.67.</li> </ul>   | 09/15/09                           |
| A084                   | <b>Funding</b> | <ul style="list-style-type: none"> <li>• I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$812,990,327.67.</li> </ul>   | 09/15/09                           |
| A085                   | <b>Funding</b> | <ul style="list-style-type: none"> <li>• I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$813,198,650.67.</li> </ul>   | 09/16/09                           |

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Fiscal Year 2009

Modifications 001 - 106

| Modification Reference | Type           | Description of Modification<br>Prime Contract No. <b>DE-AC36-08GO28308</b>   | Date Signed by Contracting Officer |
|------------------------|----------------|--|------------------------------------|
| A086                   | <b>Funding</b> | <ul style="list-style-type: none"> <li>I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$813,489,912.67.</li> </ul> | 09/17/09                           |
| A087                   | <b>Funding</b> | <ul style="list-style-type: none"> <li>I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$816,369,112.67.</li> </ul> | 09/17/09<br>ARRA<br>\$2,879,200    |
| A088                   | <b>Funding</b> | <ul style="list-style-type: none"> <li>I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$816,403,897.67.</li> </ul> | 09/17/09                           |
| A089                   | <b>Funding</b> | <ul style="list-style-type: none"> <li>I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$816,564,091.67.</li> </ul> | 09/17/09                           |
| A090                   | <b>Funding</b> | <ul style="list-style-type: none"> <li>I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$819,964,091.67.</li> </ul> | 09/18/09<br>ARRA<br>\$3,400,000    |
| A091                   | <b>Funding</b> | <ul style="list-style-type: none"> <li>I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$835,642,350.44.</li> </ul> | 09/18/09                           |
| A092                   | <b>Funding</b> | <ul style="list-style-type: none"> <li>I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$837,367,350.44.</li> </ul> | 09/18/09<br>ARRA<br>\$1,725,000    |
| A093                   | <b>Funding</b> | <ul style="list-style-type: none"> <li>I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$837,998,418.44.</li> </ul> | 09/18/09                           |
| A094                   | <b>Funding</b> | <ul style="list-style-type: none"> <li>I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$838,095,505.44.</li> </ul> | 09/19/09                           |
| A095                   | <b>Funding</b> | <ul style="list-style-type: none"> <li>I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$838,483,855.44.</li> </ul> | 09/19/09                           |
| A096                   | <b>Funding</b> | <ul style="list-style-type: none"> <li>I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$838,579,972.44.</li> </ul> | 09/19/09                           |

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Fiscal Year 2009

Modifications 001 - 106

| Modification Reference | Type           | Description of Modification<br>Prime Contract No. <b>DE-AC36-08GO28308</b>   | Date Signed by Contracting Officer |
|------------------------|----------------|--|------------------------------------|
| A097                   | <b>Funding</b> | <ul style="list-style-type: none"> <li>I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$838,759,972.44.</li> </ul> | 09/19/09                           |
| A098                   | <b>Funding</b> | <ul style="list-style-type: none"> <li>I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$838,857,059.44.</li> </ul> | 09/19/09                           |
| A099                   | <b>Funding</b> | <ul style="list-style-type: none"> <li>I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$839,342,496.33.</li> </ul> | 09/21/09                           |
| A100                   | <b>Funding</b> | <ul style="list-style-type: none"> <li>I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$839,442,496.33.</li> </ul> | 09/21/09<br>ARRA<br>\$100,000      |
| A101                   | <b>Funding</b> | <ul style="list-style-type: none"> <li>I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$840,970,296.33.</li> </ul> | 09/21/09                           |
| A102                   | <b>Funding</b> | <ul style="list-style-type: none"> <li>I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$850,920,296.33.</li> </ul> | 09/21/09<br>ARRA<br>\$9,950,000    |
| A103                   | <b>Funding</b> | <ul style="list-style-type: none"> <li>I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$861,786,146.33.</li> </ul> | 09/22/09                           |
| A104                   | <b>Funding</b> | <ul style="list-style-type: none"> <li>I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$908,776,694.33.</li> </ul> | 09/22/09                           |
| A105                   | <b>Funding</b> | <ul style="list-style-type: none"> <li>I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$927,672,694.33.</li> </ul> | 9/23/09<br>ARRA<br>\$84,096,000    |
| A106                   | <b>Funding</b> | <ul style="list-style-type: none"> <li>I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$927,753,670.33.</li> </ul> | 09/23/09                           |